



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Amy S. Lee
Serial No. : 09/606,804
Filed : June 28, 2000
Title : STRESS-RESPONSIVE INDUCTION OF A THERAPEUTIC AGENT AND METHODS OF USE

Art Unit : 1635
Examiner : Brian Whiteman

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed after a final Office action, but before payment of the issue fee. Each item of information in this statement (i) was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated February 17, 2004, which is not more than three months prior to the filing of this statement, and (ii) was not first cited in any communication from a foreign patent office in a counterpart application which

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

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April 27, 2004

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Attorney's Docket No.: 06666-040001 / USC2920

was more than three months prior to the filing date of this statement. A check for \$180 in payment of the late submission fee of §1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: _____

4/27/04



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| Substitute Form PTO-1449 (Modified) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 06666-040001 | Application No. 09/606,804 |
| Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b)) | | Applicant Amy S. Lee | |
| | | Filing Date June 28, 2000 | Group Art Unit 1635 |

U.S. Patent Documents

| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|------------------|-----------|-----------------|------------------|----------|-------|----------|----------------------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
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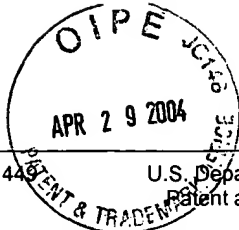
Foreign Patent Documents or Published Foreign Patent Applications

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation | |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | AL | | | | | | | |
| | AM | | | | | | | |
| | AN | | | | | | | |
| | AO | | | | | | | |
| | AP | | | | | | | |

Other Documents (include Author, Title, Date, and Place of Publication)

| Examiner Initial | Desig. ID | Document |
|------------------|-----------|--|
| | AQ | Kyu Seong Kim et al., "Expression of the Glucose-Regulated Proteins (GRP94 and GRP78) in Differentiated and Undifferentiated Mouse Embryonic Cells and the Use of the GRP78 promoter as an expression system in embryonic cells" Differentiation, Springer Verlag, DE, Vol. 42, No. 3, February 1990 (1990-02) pages 153-159 |
| | AR | Roy B et al., "The Mammalian Endoplasmic Reticulum Stress Response Element Consists of an Evolutionarily conserved tripartite structure and interacts with a novel stress-inducible complex" Nucleic Acids Research, Oxford University Press, Surrey, Great Britain, vol. 27, no. 6, 1999, pages 1437-1443 |

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| Examiner Signature | Date Considered |
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| | | Applicant Amy S. Lee | |
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| Other Documents (include Author, Title, Date, and Place of Publication) | | |
|---|-----------|---|
| Examiner Initial | Desig. ID | Document |
| | AS | Yoshida H et al., "Identification of the CIS-Acting Endoplasmic Reticulum Stress Response Element Responsible for Transcriptional Induction of Mammalian Glucose-Regulated Proteins" Journal of Biological Chemistry, American Society of Biological Chemists, Baltimore, MD, vol. 273, No. 50, 1998, pages 33741-33749 |
| | AT | |

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